

# DTD5 Rec'd PCT/PTO J 1 NOV 2002

Patent

Brobeck Docket: 030639.0043.UTL2 Amylin Docker.

TRADEMARK OFFICE

Confirmation No.: To be assigned Charles Tologon.

To be assigned Tologon.

To be assigned

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Applicant:

Beeley, et al.

Serial No.:

PCT/US98/24210 International

09/554,533 United States

Filed:

November 13, 1998 International

November 14, 1997 Priority

Title: NOVEL EXENDIN AGONIST

**COMPOUNDS** 

Commissioner of Patents and Trademarks Box Sequence, P.O. Box 2327

Arlington, VA 22202

RECEIVED MAY 1 9 2003

**TECH CENTER 1600/2900** 

Dear Sir:

### TRANSMITTAL

Enclosed are the following documents for submission to the Patent and Trademark Office for the above-identified patent application:

- Amendment to Direct Entry of Sequence Listing
- Paper copy of Sequence Listing
- Diskette containing a computer readable form of the Sequence Listing
- Supplemental Power of Attorney
- Return Postcard

#### **CERTIFICATE OF MAILING** (37 C.F.R. §1.8a)

I hereby certify that this paper (along with anything referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage in an envelope addressed to the Commissioner for Patents, Patent and Trademark Office, Washington, DC 20231

Linda Reynolds

Name of Person Mailing Paper

October 28, 2002

Date of Deposit

Patent

Brobeck Docket: 030639.0043.UTL2

Amylin Docket No.: 238/086 US

No fees is believed due in connection with this communication, however, the Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Amylin Pharmaceuticals, Inc.'s Deposit Account No. 010535.

Respectfully submitted,

AMYLIN PHARMACEUTICALS, INC

Date: 0d 28,2002

James W. Collett, Ph.D. Registration No.: 46,636

AMYLIN PHARMACEUTICALS, INC. 9373 Towne Centre Drive San Diego, California 92121

Telephone: (858) 552-2200 Facsimile: (858) 552-1936



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Cornnissioner for Pateriss, Box PCT United States Patent and Tradomark Office Washington, IXC, 20233

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/554,533

NIGEL BEELEY

238/086 PCT/US

INTERNATIONAL APPLICATION NO.

PCT/US98/24210

Date Mailed: 09/26/2002

CHARLES S BERKMAN

**633 WEST FIFTH STREET** 

LOS ANGELES, CA 90071

LYON & LYON

**SUITE 4700** 

10/26/02 01/

NOTIFICATION OF DEFECTIVE RESPONSE

CONFIRMATION No.

371 FORMALITIES LETTER

\*OCO000000008850820\*

\*CENTER

\*A 2003

\*A 2003

\*A 2003 The following items have been submitted by the applicant or the IB to the United States Patent and Tra-Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Request for Immediate Examination
- Small Entity Statement

RECEIVED

MAY 1 9 2003

**TECH CENTER 1600/2900** 

Applicant's response filed 07/11/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 06/14/2000 have not been completed.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

 The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):



- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

#### PAULETTE R KIDWELL

Telephone: (703) 305-3656

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/554,533	PCT/US98/24210	238/086 PCT/US

FORM PCT/DO/EO/916 (371 Formalities Notice)



Commissioner for Patent Washington, DC 2023 www.useto.go

APPLICATION NUMBER

FILING DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

09/554,533

NIGEL BEELEY

238/086 PCT/US

CHARLES S BERKMAN LYON & LYON 633 WEST FIFTH STREET SUITE 4700 LOS ANGELES, CA 90071 CONFIRMATION NO. 1938
WITHDRAWAL NOTICE

\*OC000000008845755\*

Date Mailed: 09/26/2002

## WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Notice of Abandonment mailed on 09/18/2001 was sent in error and is hereby withdrawn. A Notice is enclosed. The time period for reply runs from the mail date of the Notice. The Office regrets any inconvenience the error may have caused.

A copy of this notice MUST be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE